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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): YUKIO, Honkawa, et al.
Serial No.: 09/997,306
Filed: November 28, 2001
For: SEMICONDUCTOR LASER
DEVICE AND METHOD FOR
FABRICATING THE SAME
Group Art Unit: Unassigned
Examiner: Unassigned
Attorney Docket: 6635-60519 (7742-23)

**CERTIFICATE OF
MAILING/TRANSMISSION
(37 C.F.R. § 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231.

9 APRIL 2002 *[Signature]*
Date Kwezi Mjumbe

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

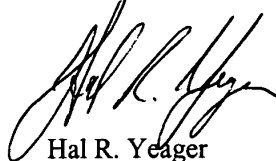
Sir:

Pursuant to the Notice to File Missing Parts of Non-provisional Application Filing Date Granted, dated March 7, 2002 and relating to completion of the above-identified application, transmitted herewith are:

1. A copy of the Notice to File Missing Parts of Non-provisional Application Filing Date Granted, mailed March 7, 2002;
2. The Declaration for Patent Application signed by the inventors pursuant to 37 C.F.R. § 1.63;
3. A check in the amount of \$130.00 to cover the surcharge in accordance with 37 C.F.R. § 1.16(e) for timely filing of missing parts of the application; and
4. A check in the amount of \$1184.00 to cover the basic filing fee and additional claims fee surcharge in accordance with 37 C.F.R. § 1.16(e) for timely filing of

missing parts of the application; and Except for the issue fee, which will be separately authorized, the Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 13-0201. A copy of this paper is enclosed.

Respectfully submitted,



Hal R. Yeager
Registration No. 35,419

April 9, 2002
COUDERT BROTHERS LLP
600 Beach Street, Third Floor
San Francisco, CA 94019
Telephone: (415) 409-2900
Telefax: (415) 409-7400

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/997,306	11/28/2001	Yukio Honkawa	6635-60519 (7742-23)

CONFIRMATION NO. 4445

RECEIVED

FORMALITIES LETTER



OC000000007593521

COUDERT BROTHERS, LLP
Third Floor
600 Beach Street
San Francisco, CA 94109

MAR 11 2002

COUDERT BROTHERS LLP
SAN FRANCISCO

Date Mailed: 03/07/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$444.
 - \$360 for 20 total claims over 20.
 - \$84 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1314.**

A copy of this notice **MUST** be returned with the reply.

d. Laba

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/24/2002 GGBREGI 00000035 09997306
 01 FC:105
 02 FC:101
 03 FC:103
 04 FC:102
 130.00 OP
 740.00 OP
 360.00 OP
 84.00 OP